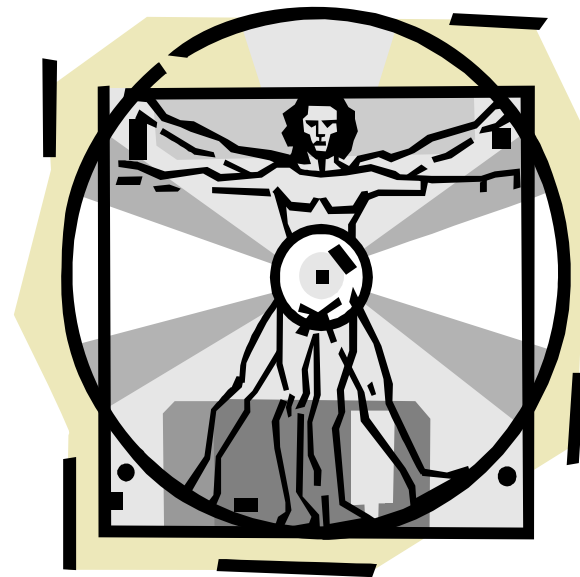


# Nanoparticle Delivery

## ROUTES OF ADMINISTRATION

- Oral
- Intravenous
- Nasal
- Ophthalmic
- Rectal/Vaginal
- Trans Dermal
- **Pulmonary**

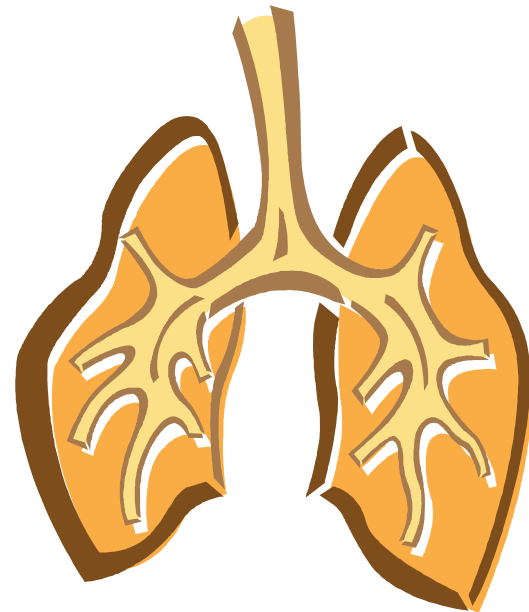




# Local delivery vs. systemic

---

- Aerosol route of administration
  - Local effect (reduced systemic site effects)
  - Systemic (prevent Gi-tract or invasive administration)
- Aerosol target
  - Treatment Organ
  - Absorption Organ





# Lungs as Treatment organ

---

- **Asthma** (Hardy and Chadwick, 2000)
- **Cystic fibrosis** (Garcia-Contreras and Hickey, 2002)
- **Lung cancer** (Rao et al., 2003)
- **Tuberculosis** (Pandey and Khuller, 2005; Zahoor et al., 2005)

# NANOPARTICLES in Cancer Treatment

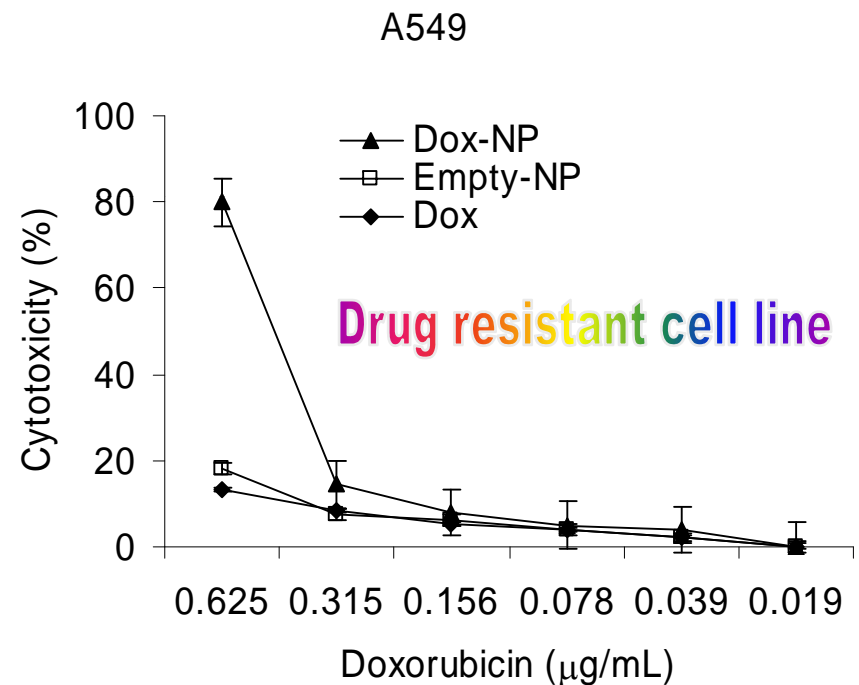
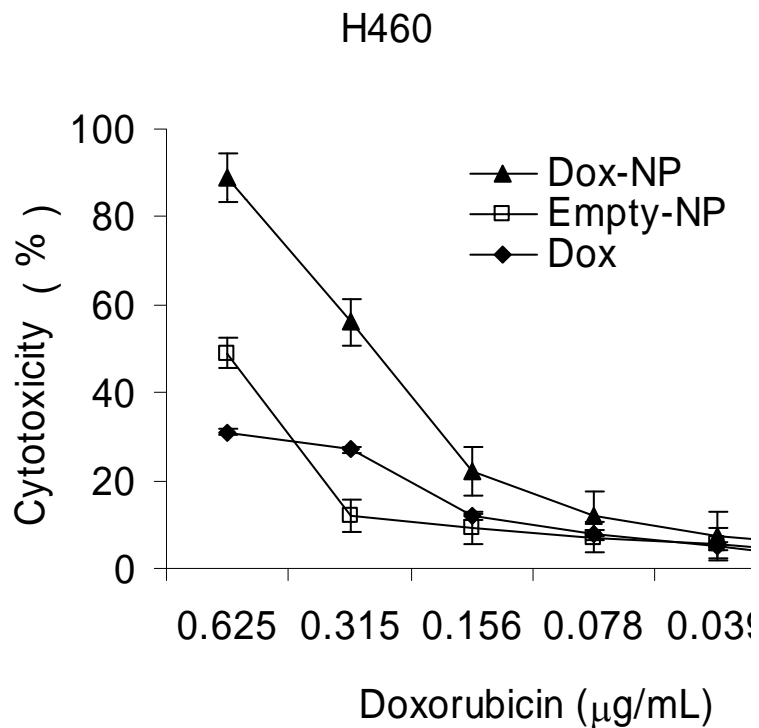


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- Nanoparticles are drug vehicles able to **accumulate in tumor** tissues or cells, while **protecting the drug** from premature inactivation during the transport...
- Promising drug carriers...

*Brigger I, Dubernet C, Couvreur P. Nanoparticles in cancer therapy and diagnosis. Advanced Drug Delivery Reviews 2002;54(5):631-651.*

# Biological activity of the Nanoparticles



*Shirzad Azarmi, Xia Tao, Hua Chen, Zhaolin Wang, Warren. H. Finlay, Raimar Löbenberg, Wilson. H. Roa; Formulation and Cytotoxicity of Doxorubicin Nanoparticles Carried by Dry Powder Aerosol Particles Int J Pharm 2006*

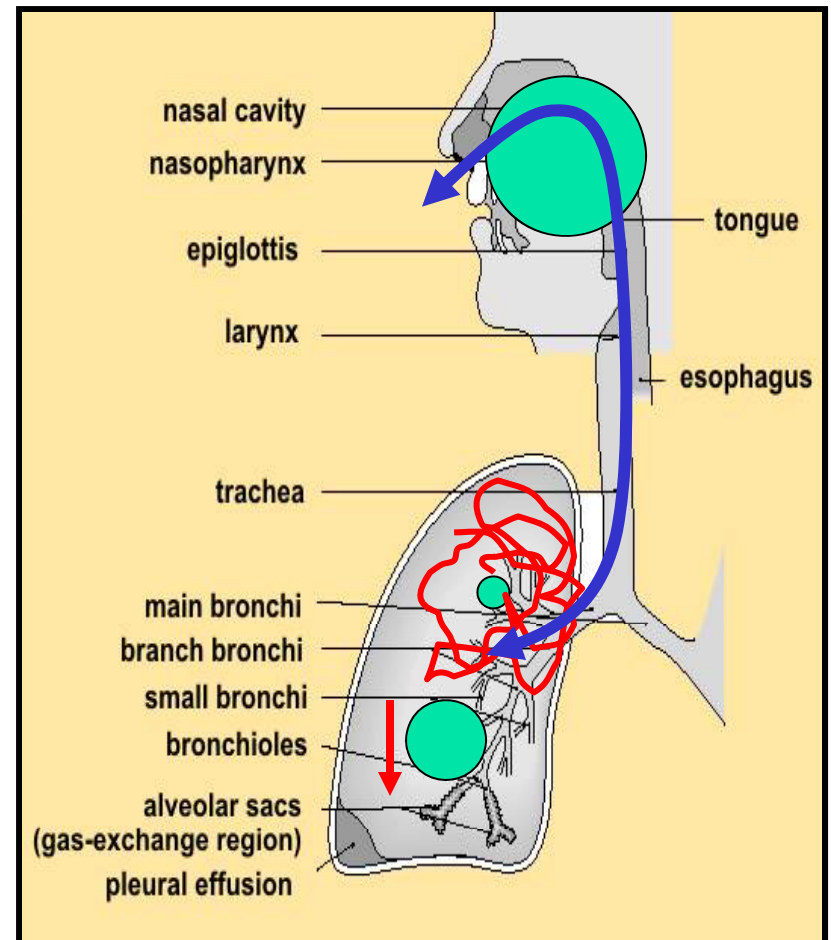
# DEPOSITION MECHANISM

## General air flow through the respiratory tract

Large particles will **Impact** in the upper respiratory tract ( $>5 \mu\text{m}$ )

Small particles will have **no deposition** because of **Brownian diffusion** ( $<1 \mu\text{m}$ )

**Sedimentation** in the alveolar region ( $1-5 \mu\text{m}$ )



# Pulmonary Nanoparticle delivery

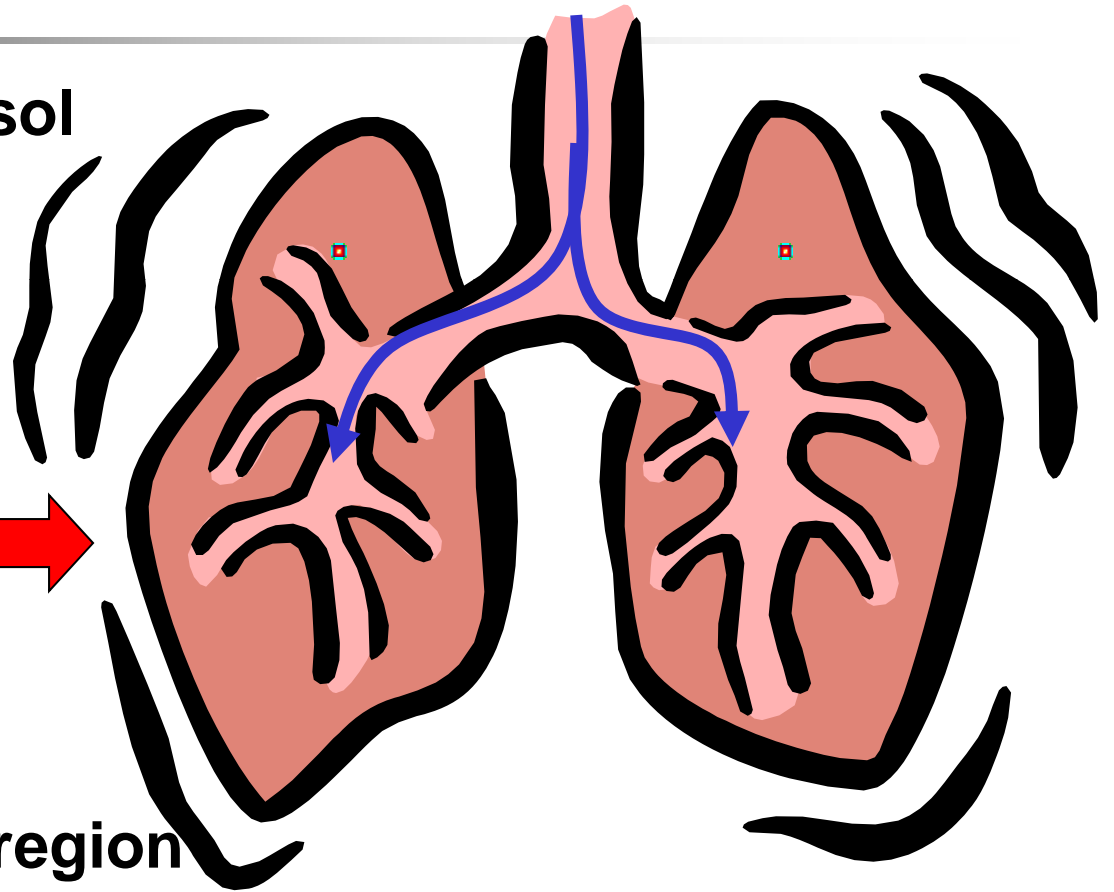
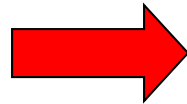
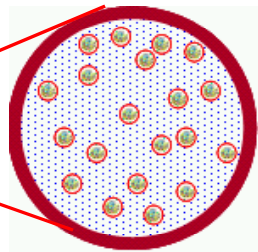
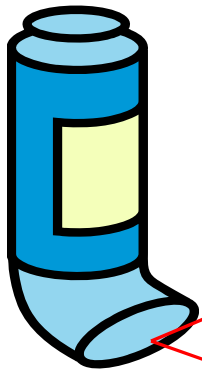
- Solid colloidal particles < 1000 nm
- But how can you deliver them to the lungs?
- Suitable size is between 1000 and 5000 nm?



We had to go back to the lab  
and do some experiments

# THE CONCEPT

Respirable aerosol  
particles  
containing  
nanoparticles

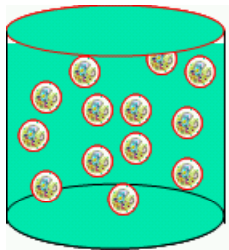


The **carrier particles**  
deposit in the alveolar region  
The carrier **dissolves**  
The **nanoparticles** are **released**

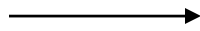


# THE CONCEPT

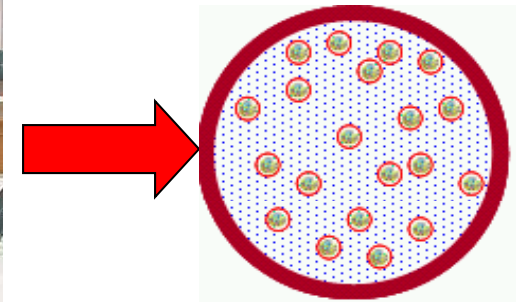
**Suspension of  
lactose and  
nanoparticles**



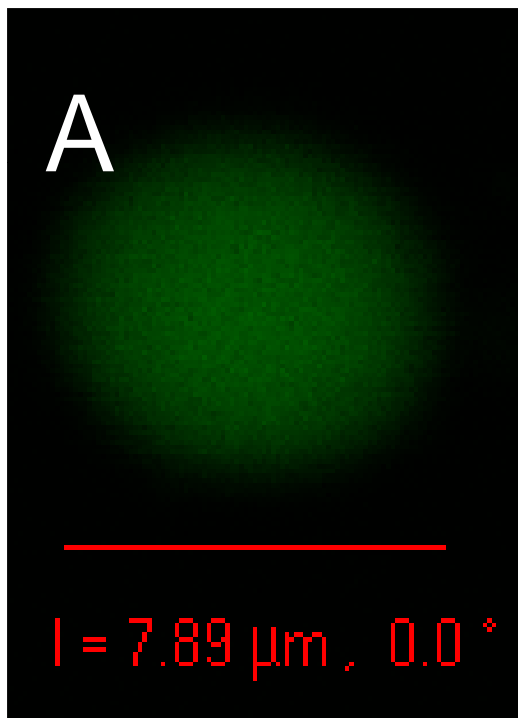
Spray drying



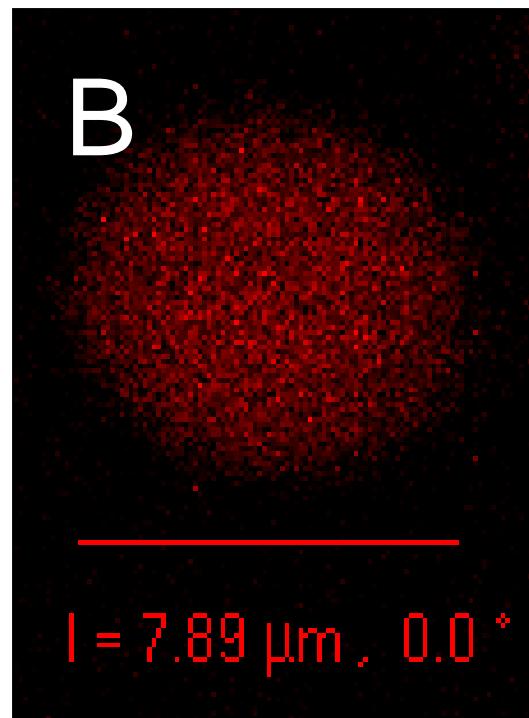
**Respirable  
lactose aerosol  
particles  
containing  
nanoparticles**



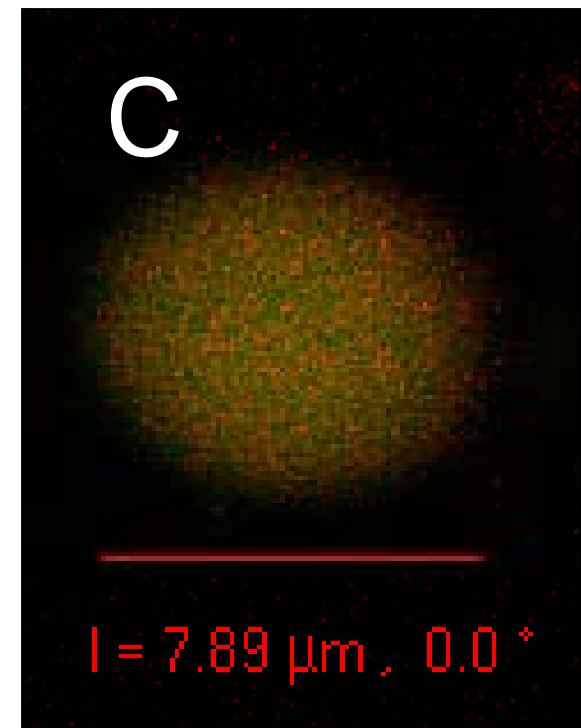
# RESULTS



CARRIER PARTICLES



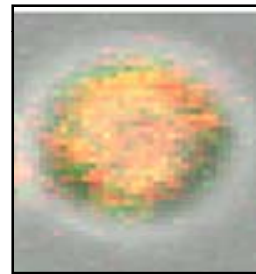
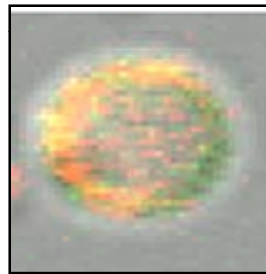
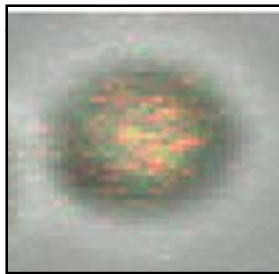
NANOPARTICLES



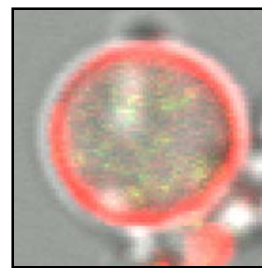
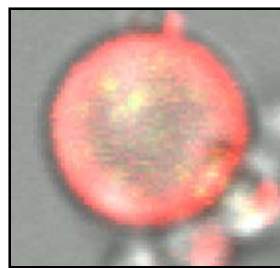
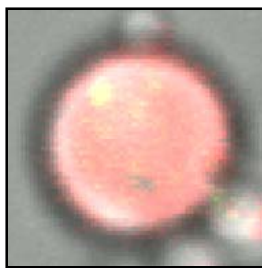
CARRIER PARTICLES  
and NANOPARTICLES

# CUTTING THROUGH THE PARTICLES

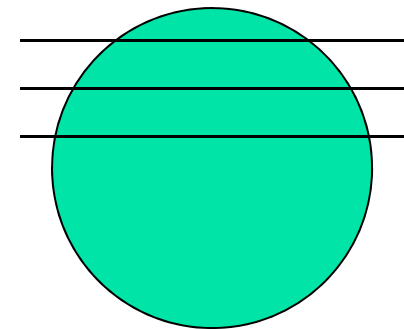
Continuous matrix of carrier particles



Hollow carrier particles



Confocal laser scanning microscopy





# Most Cited Paper



## *International Journal of Pharmaceutics Most Cited Paper 2004 Award*

Awarded to:

*J.O.-H. Sham, Y. Zhang, W.H. Finlay, W.H. Roa, R. Löbenberg*

For the paper entitled:

**“Formulation and characterization of spray-dried powders containing nanoparticles for aerosol delivery to the lung”**

By:

*J.O.-H. Sham, Y. Zhang, W.H. Finlay, W.H. Roa, R. Löbenberg*

This paper was published in:

**International Journal of Pharmaceutics, Volume 269, Issue 2 (2004), Pages 457-467**

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*Jaap van Harten, PhD, Publisher Pharmaceutical Sciences  
Federica Rosetta, Publishing Editor Pharmaceutical Sciences  
Elsevier, Amsterdam, The Netherlands*

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# Active Release of Nanoparticles from the Carrier Particle

- How to create **forces** which increase the **disperse-ability** of the nanoparticles?



We had to go back to the lab  
and do some experiments





# NEW SYSTEM OF CARRIER PARTICLES with Active Release

---

## Our approach:

- The *in situ* generated gas pressure may help to disperse the content of the carrier particle

# Active Release of Nanoparticles from the Carrier Particle

- How to avoid that the reaction is happening while spray drying?



We had to go back to the lab  
and do some experiments



# NEW SYSTEM OF CARRIER PARTICLES

- Effervescent carrier particles:

## FORMULATION



Lactose

Sodium Carbonate

Acid Citric

Ammonia

Water

Evaporation



# MAJOR PARAMETERS

## Machine parameters

Atomization

- Pressure

Temperatures

- inlet
- outlet

Pump

Aspirator



# MAJOR PARAMETERS

## Formulation parameters

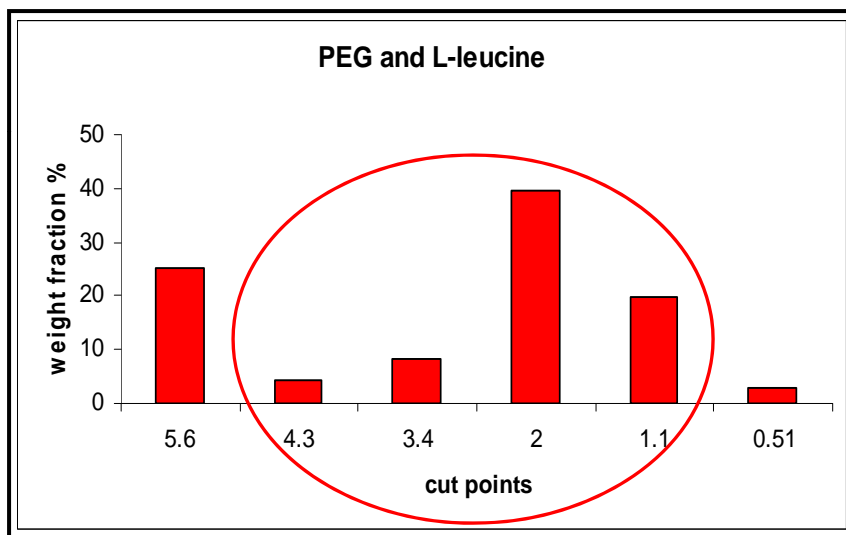


Solid concentration

Excipients:

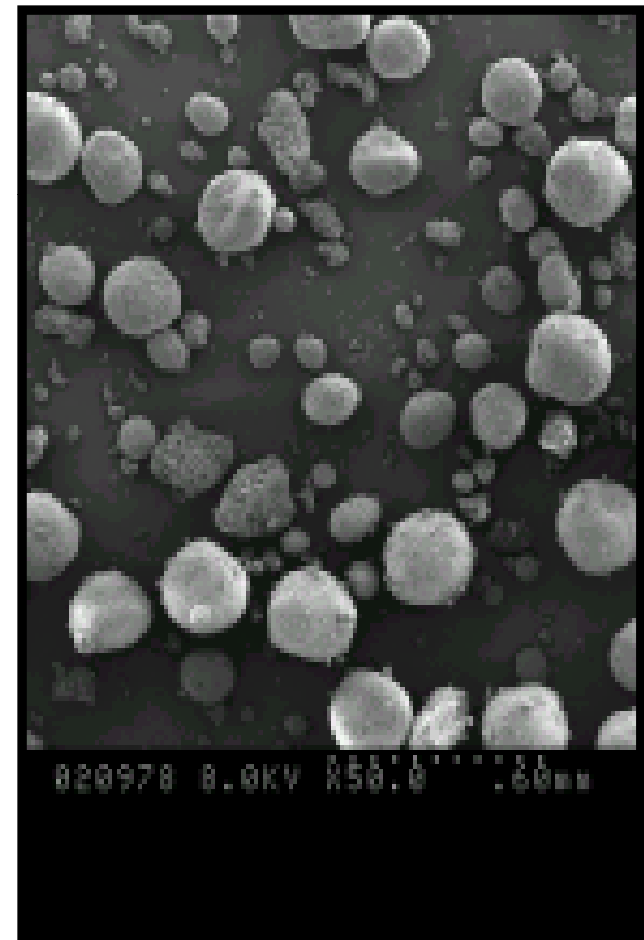
- leucine
- polyethylene glycol
- ethanol
- surfactants

# RESULTS: Polyethylene glycol (PEG) and L-leucine

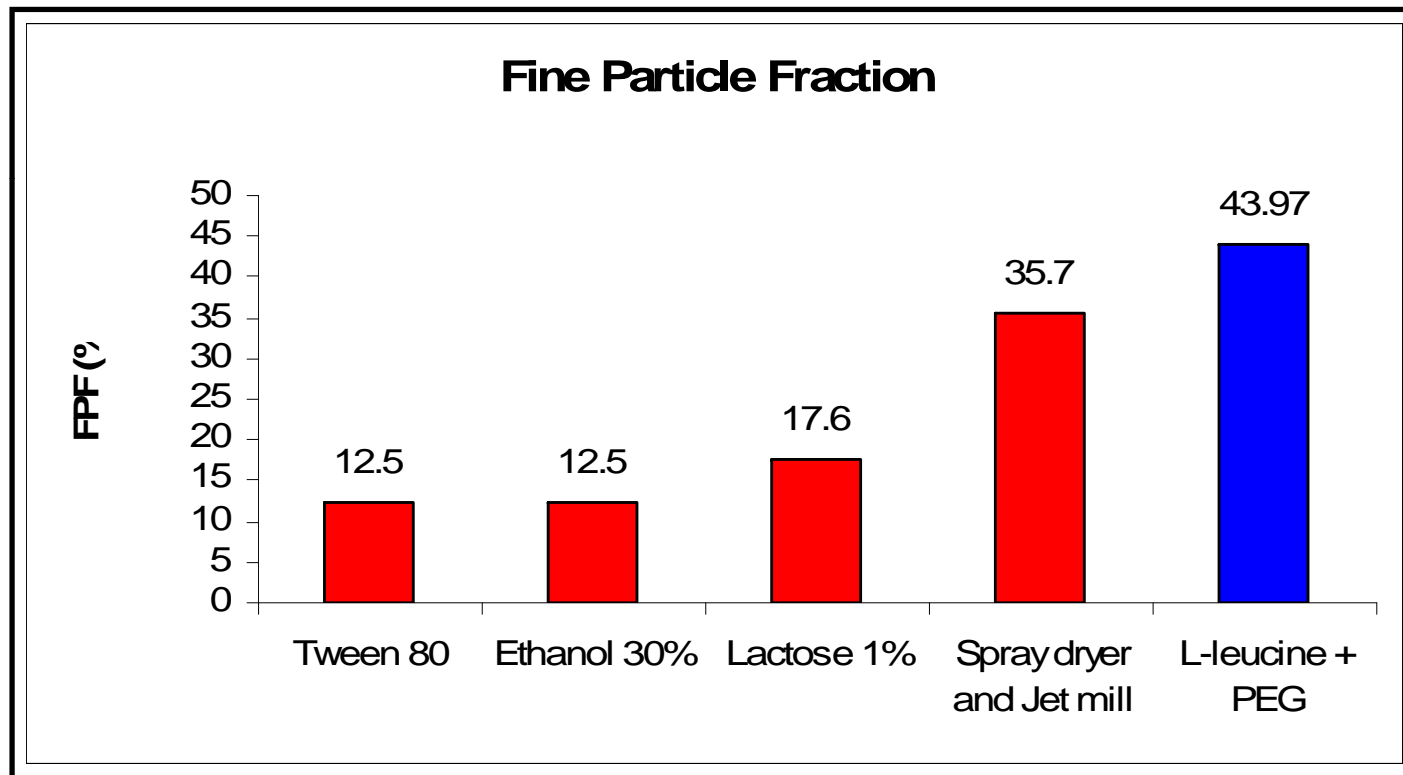


PEG and L-leucine both work as a lubricant

L-leucine can decrease the aggregation of spray dried particles

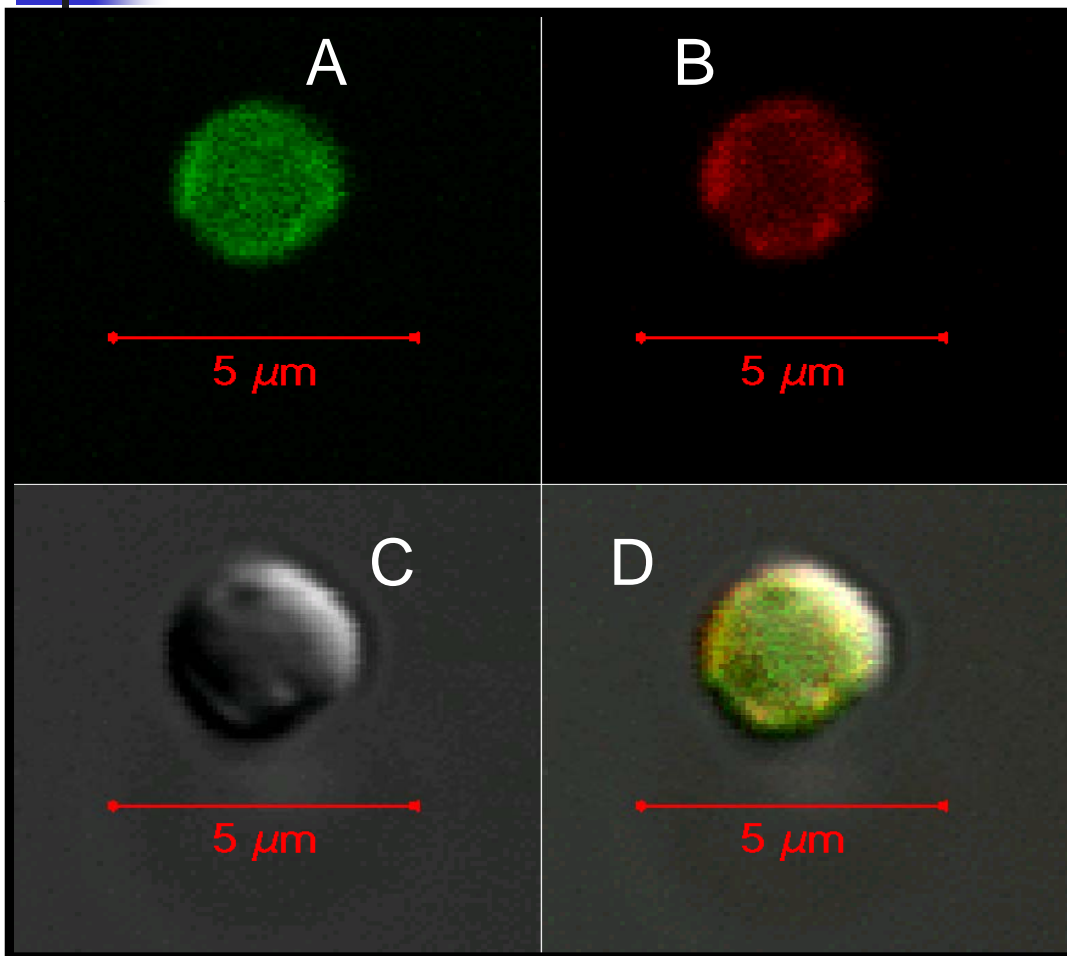


# Fine Particle Fraction (FPF)



FPF means particle fraction that can be delivered to the lungs

# Carrier particles with active release mechanism



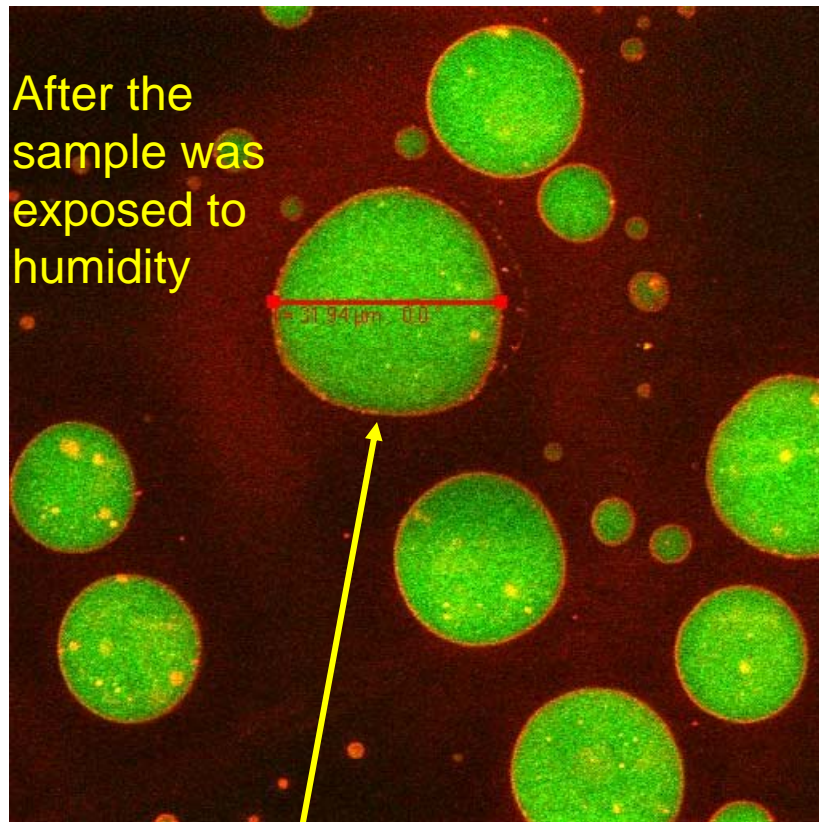
(A) green nanoparticles.

(B) red carrier matrix

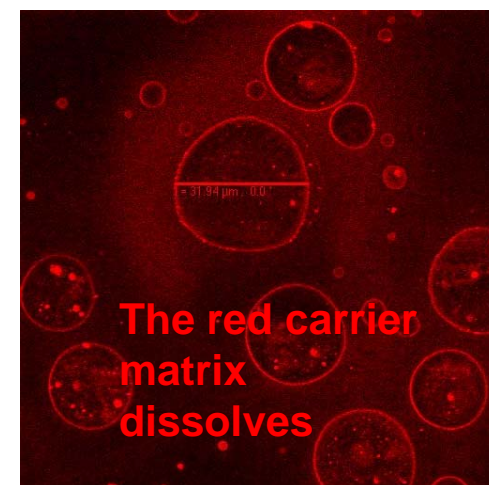
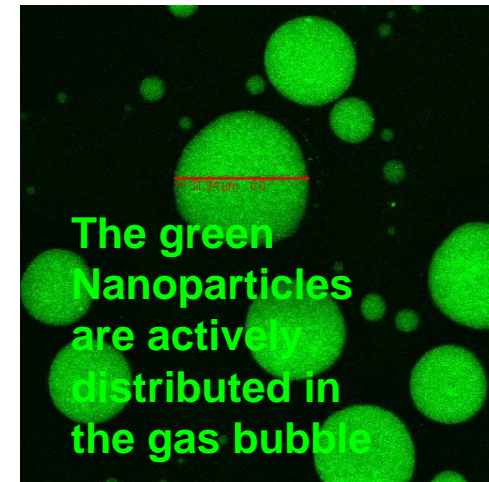
(C) normal light

(D) superimposed

# Carrier particles with active release mechanism

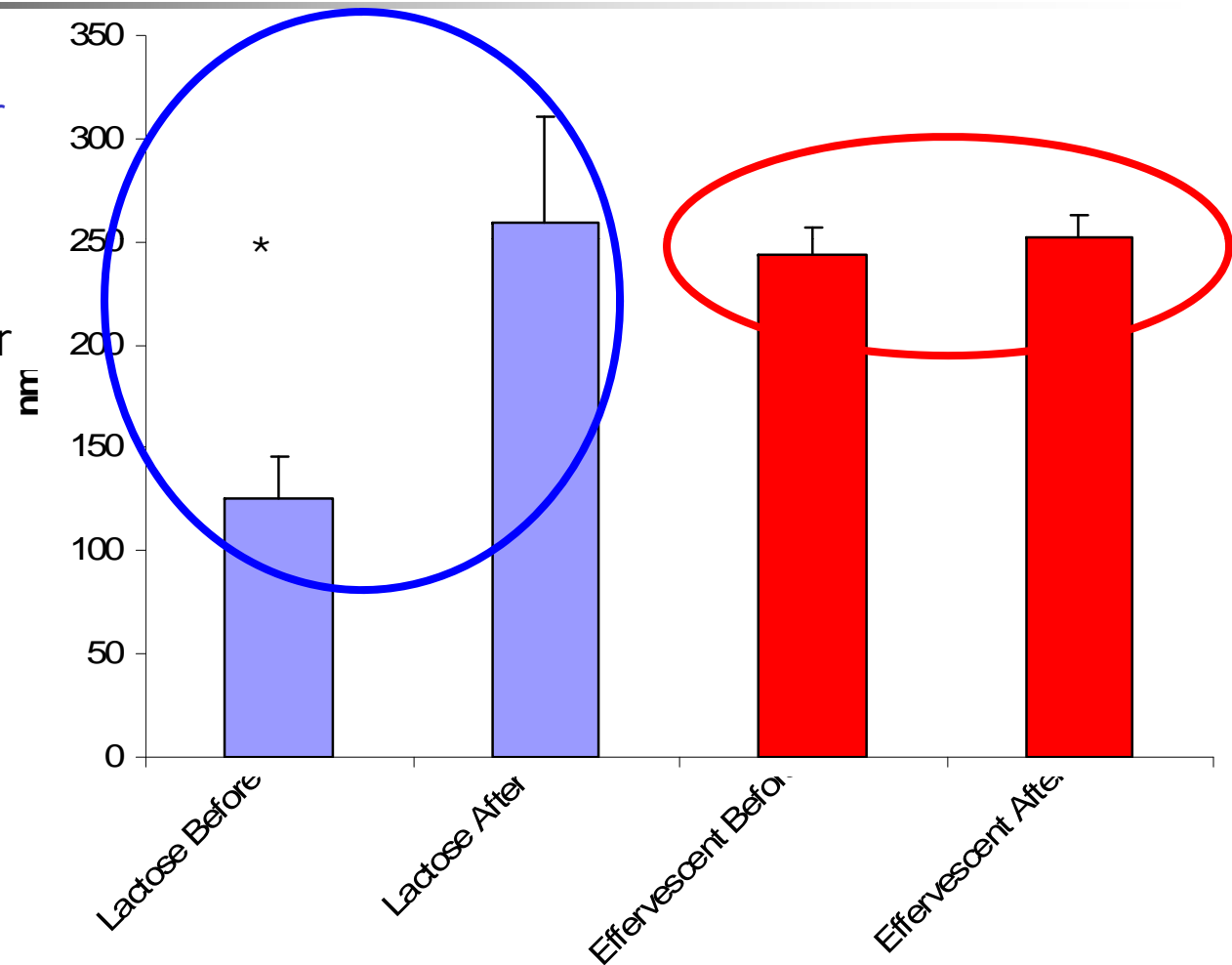


The gas bubble is about 30 μm



# RESULTS: Influence of the formulation on the size of nanoparticles

Effervescent Dry Powder  
for Respiratory Drug  
Delivery;  
Leticia Ely, Wilson Roa,  
Warren H. Finlay, Raimar  
Löbenberg;  
Eur J Pharm Biopharm  
2007





# Publication

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Available online at [www.sciencedirect.com](http://www.sciencedirect.com)



European Journal of Pharmaceutics and Biopharmaceutics 65 (2007) 346–353

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**European  
Journal of  
Pharmaceutics and  
Biopharmaceutics**

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[www.elsevier.com/locate/ejpb](http://www.elsevier.com/locate/ejpb)

Research paper

## Effervescent dry powder for respiratory drug delivery

Leticia Ely <sup>a</sup>, Wilson Roa <sup>b</sup>, Warren H. Finlay <sup>c</sup>, Raimar Löbenberg <sup>a,\*</sup>

<sup>a</sup> *Faculty of Pharmacy, University of Alberta, Edmonton, AB, Canada*

<sup>b</sup> *Department of Oncology, University of Alberta, Edmonton, AB, Canada*

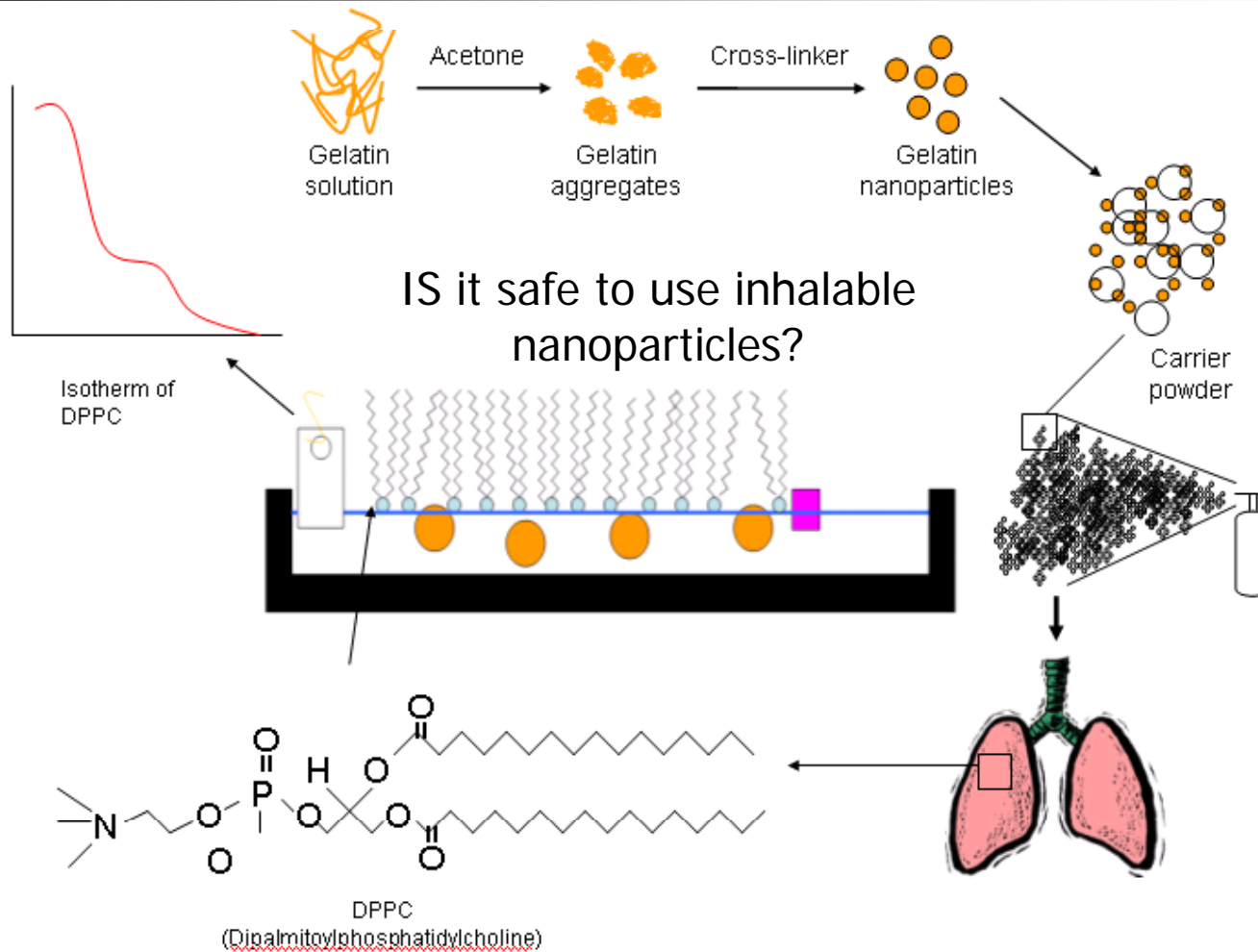
<sup>c</sup> *Department of Mechanical Engineering, University of Alberta, Edmonton, AB, Canada*

Received 4 July 2006; accepted in revised form 24 October 2006

Available online 7 November 2006



# Nanotoxicology of Inhalable Nanoparticles





# Lung surfactant

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- Lung surfactant are a mixture of about **80% phospholipids**,
- **5-10 proteins** and
- **5-10% cholesterol** containing compounds
  
- Major components of the phospholipids are phosphatidylcholine PC and dipalmitoyl phosphatidylcholine DPPC.

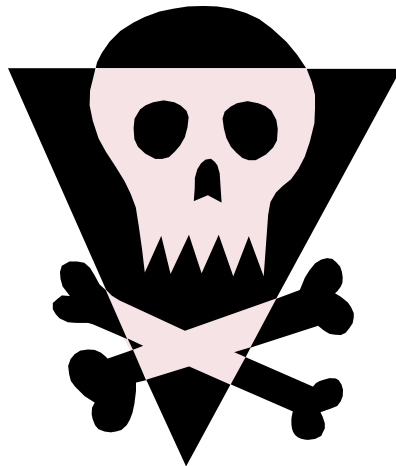


# Lung surfactant

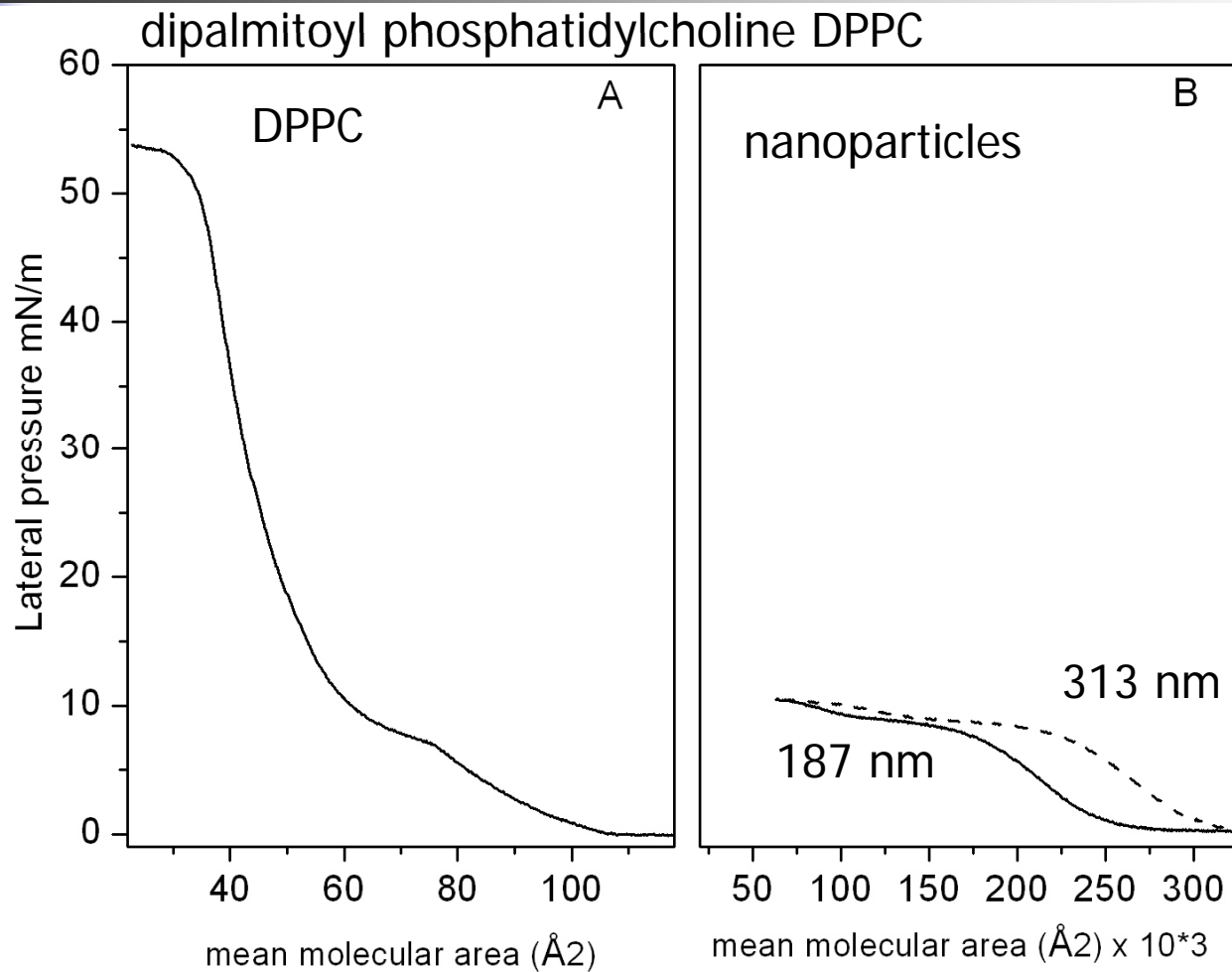
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Function:

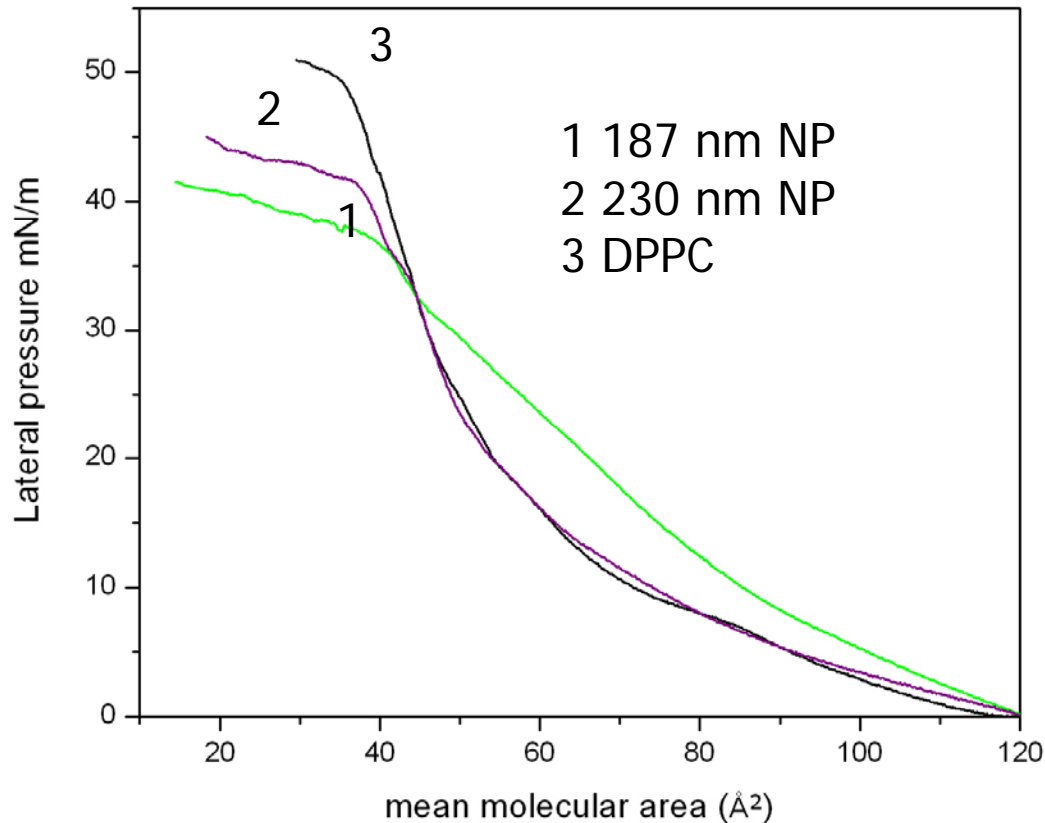
- Reduction of Surface Tension
- Gas Exchange



# Surface pressure versus molecular area isotherms



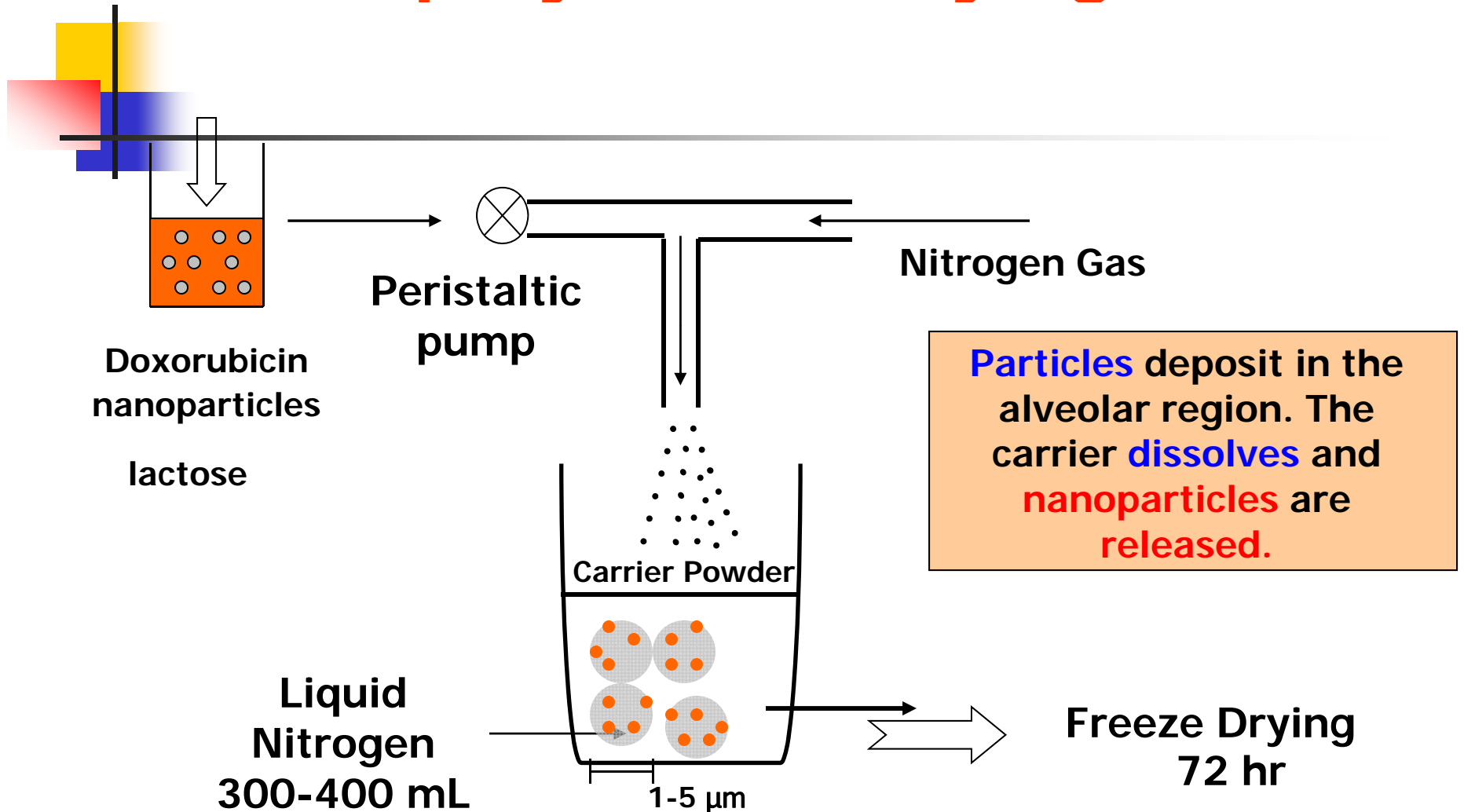
# Surface pressure versus molecular area isotherms



The study concluded that: The high surface pressure values obtained from the isotherms of the binary mixtures indicates the notion that their size dependent incorporation **does not destabilize the monolayer film**. The study results demonstrated that pulmonary nanoparticle delivery is a possible route of administration.

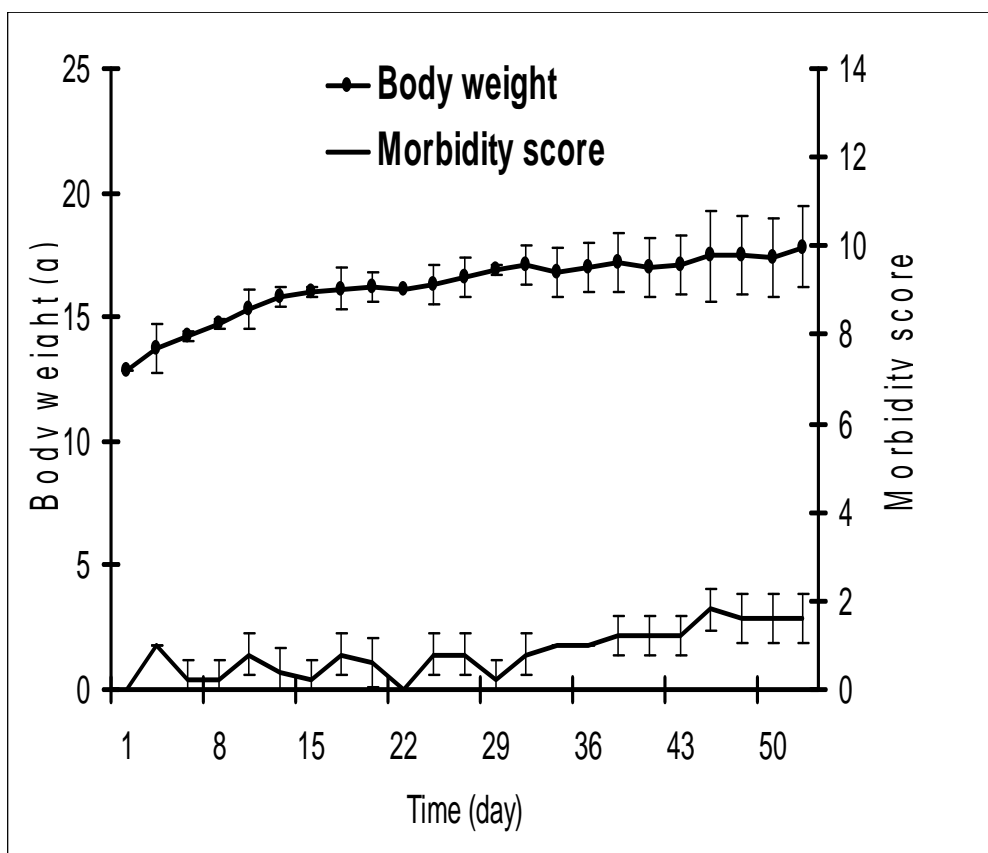
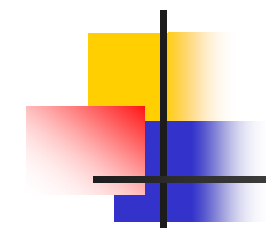
*Biophysical investigation of nanoparticle interactions with lung surfactant model systems; Diana Stuart, Raimar Löbenberg, Tabitha Ku, Shirzad Azarmi, Leticia Ely, Wilson Roa and Elmar J. Prenner J Biomed Nanotech Vol 2 p1-8 2006*

# Spray freeze-drying



Density:  $\sim 0.1 \text{ g/cm}^3$

# Morbidity Scores



Project/Animal Identification:	Score
Appearance	
Normal	0
General lack of grooming	1
Coat staring, ocular or nasal discharge	2
Piloerection, hunched up	3
Body Weight	
Normal < 5%	0
body wt. drop 6-15%	1
body wt. drop 16-25%	2
body wt. drop 26-35%	3
body wt. drop > 35%	4
Food Intake	
Normal	0
food intake drop 10-33%	1
food intake drop 34-75%	2
food intake drop > 75%	3
Clinical Signs	
Normal resp. rate and hydration	0
Slight changes	1
Resp. rates up or down 30%, measurable dehydration	2
Resp. rates changes 50% or very low, severe dehydration	3
Behavior	
Normal	0
Minor inactivity or exaggerated responses	1
Moderate change in expected behavior, isolated or listless	2
Reacts violently, or very weak and precomatose	3
Total	



# Study design

---

- Female 4-5 weeks old BALB/c nude mice
- NCI-H460 injection
- Small lung metastatic nodules develop in 15 days
- Treatment over 4 weeks with
  - Free Drug
  - Inhalable NPs
  - Blank NPs
  - No treatment





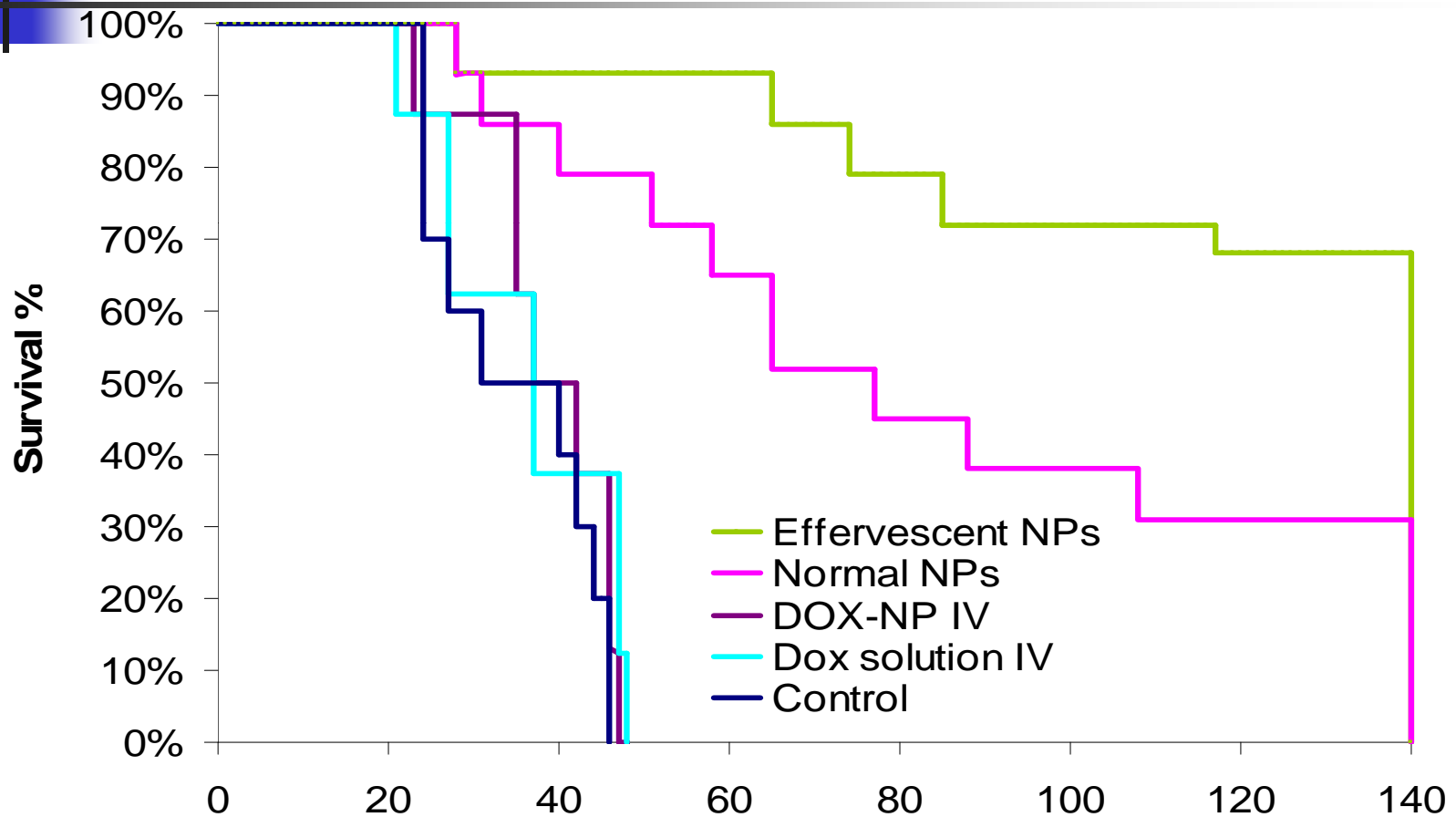
# Expectation

---

- The cancer will spread throughout the body
- The animals will die because of cancer in other organs
- The Lungs might show less cancer compared to other tissues due to the treatment

# Summary of what accomplished and performed

Assessing the efficacy of DOX-loaded effervescent inhalable NPs in vivo





# Summary

---

- **Inhalable nanoparticles** with active release mechanism were successfully synthesized
- In vitro results demonstrate that nanoparticles **do not compromise the biological function** of the lung surfactant film
- In vitro cell culture test show that doxorubicin bound to nanoparticles is **more effective against lung cancer cells** than free doxorubicin
- In vivo studies demonstrate that the **survival of mice can be improved** if doxorubicin loaded nanoparticles are administered via the pulmonary route of administration



# Conclusions

---

- New dry powder technology is available to deliver NP or drugs to the lungs
- Improved pulmonary drug delivery for cytotoxic molecules is possible using nanoparticles
- Drug delivery is the key to improve (lung cancer) treatments.

# Articles

- **Formulation and in vivo evaluation of effervescent inhalable carrier particles for pulmonary delivery of nanoparticles.**  
Azarmi S, Löbenberg R, Roa WH, Tai S, Finlay WH.  
Drug Development & Industrial Pharmacy. 34(9):943-7, 2008 Sep.
- **Targeted delivery of nanoparticles for the treatment of lung diseases**  
Shirzad Azarmi, Wilson H. Roa and Raimar Löbenberg,  
Advanced Drug Delivery Reviews, 0(8):863-75, 2008 May 22.
- **Nanoparticles: characteristics, Mechanisms of Action and Toxicity in Pulmonary Drug Delivery – A Review**  
S Gill, R Löbenberg, T Ku S Azarmi, W Roa and EJ Penner  
J Biomed Nanotechnology 2007
- **Effervescent Dry Powder Aerosols for Respiratory Drug Delivery**  
Leticia Ely, Warren H Finlay, Wilson H Roa, Raimar Löbenberg  
Eur J Pharm Biopharm 2007 (65) 346-353
- **Biophysical investigation of nanoparticle interactions with lung surfactant model systems**  
Diana Stuart, Raimar Löbenberg, Tabitha Ku, Shirzad Azarmi, Leticia Ely, Wilson Roa and Elmar J. Prenner  
J Biomed Nanotechnology Volume 2, Numbers 3-4, October/December 2006, pp. 245-252(8)
- **Formulation and Cytotoxicity of Doxorubicin Nanoparticles Carried by Dry Powder Aerosol Particles**  
Shirzad Azarmi, Xia Tao, Hua Chen, Zhaolin Wang, Warren. H. Finlay, Raimar Löbenberg, Wilson. H. Roa  
Int J Pharm 2006 319 (1-2), pp. 155-161 (ranked # 23 for the journal in Jul – Sep 2006 )
- **Optimization of a two-step desolvation method for preparing gelatin nanoparticles and cell uptake studies in 143B osteosarcoma cancer cells**  
Azarmi S, Huang Y, Chen H, McQuarrie S, Abrams D, Roa W, Finlay WH, Miller GG, Löbenberg R  
JPPS 9 (1): 124-132 2006
- **Dry Powder Inhalation Aerosols Containing Nanoparticulate Doxorubicin**  
L.G. Sweeney, H. Chen, Z. Wang, R. Löbenberg, W. Roa, W.H. Finlay  
Eur J Pharm Sci 305 (1-2): 180-185 NOV 23 2005
- **Formulation and Characterization of Spray-Dried Powders Containing Nanoparticles for Aerosol Delivery to the Lung,**  
Jeffrey O.-H. Sham, Yu Zhang, Warren H. Finlay, Wilson H. Roa, and Raimar Löbenberg  
Int. J. Pharm. Vol 269, (2), Pages 457-467 ranked Top 25 in 2004



# Acknowledgements

---

- Dr. Finlay
- Dr. Roa
- Dr. Chacra
- Dr. Prenner
- Dr. Shirzad Azarmi
- Dr. Xia Tao
- Dr. Chuching Tai
- Yuan Huang
- Leticia Ely
- Kamal Al-Hallak
- **NSERC**, AHFMR, CIHR, ACB