

CHINESE INVESTMENT IN CANADA



PROGRAMME

4th Annual National Forum organized by
the China Institute of the University of Alberta

May 2, 2014 - Fairmont Palliser - Calgary

**4th Annual National Forum on Chinese Investment in Canada
organized by the China Institute of the University of Alberta**

**The Big Chill? – Is A Cooling Trend of Chinese
Investment Evident in Canada?**

Working with SOEs – Myths and Reality

**Managing Foreign Investment – A Dialogue Between
Provincial and Federal Governments**

The ‘Net Benefit’ Debate



SCHEDULE

- Welcoming Remarks
- Scene Setter
- Panel 1
- Panel 2
- Luncheon Keynotes
- Panel 3
- Panel 4



KEYNOTE BIOGRAPHIES

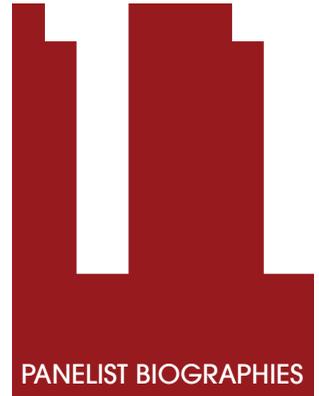
The Honourable Cal Dallas
Minister, International and
Intergovernmental Relations,
Government of Alberta

His Excellency Guy Saint-
Jacques
Ambassador of Canada to the
People's Republic of China



SCENE-SETTING PAPER

Ron MacIntosh
Senior Research Fellow, China
Institute, University of Alberta



PANELIST BIOGRAPHIES



SCHEDULE

08:00 AM

REGISTRATION &
CONTINENTAL BREAKFAST

Alberta Ballroom
Fairmont Palliser Hotel

08:30 AM

WELCOMING REMARKS

Gordon Houlden
Director, China Institute, University of
Alberta

The Honourable Teresa Woo-Paw
Associate Minister, International &
Intergovernmental Relations, Government
of Alberta

08:40 AM

SCENE SETTER

Xinping WANG
Consul General, Consulate-General of
the People's Republic of China in Calgary

08:55 AM

PANEL 1:
The Big Chill? – Is A Cooling Trend of Chinese Investment Evident in Canada?

CHAIR

Ken Sunquist, Former Assistant Deputy Minister (Asia & Africa) & Chief Trade Commissioner, Foreign Affairs & International Trade Canada; Senior Research Fellow, China Institute, University of Alberta

PANELISTS

Alison Nankivell, Vice President, Venture Capital Action Plan (VCAP)

Qi TAO, Head of Corporate Banking, Industrial and Commercial Bank of China (Canada)

Ian Wild, Executive Vice President, ATB Corporate Financial Services

10:25 AM

PANEL 2:
Managing Foreign Investment – A Dialogue Between Provincial and Federal Governments

CHAIR

Robert Kwauk, Office Managing Partner, Beijing office, Blake, Cassels & Graydon LLP

PANELISTS

Tony Baumgartner, Executive Director, Economic Development Division, Ministry of the Economy, Government of Saskatchewan

David Hartman, Executive Director, Greater China Division, Foreign Affairs, International Trade and Development Canada

Terry Hayden, Assistant Deputy Minister, Department of Economic Development, Yukon Government

Al Sanderson, Chief Assistant Deputy Minister, Alberta Energy, Government of Alberta

Dr. Emilson Silva, Professor, Alberta School of Business, University of Alberta

10:10 AM

COFFEE BREAK

11:40 AM

KEYNOTE

The Honourable Cal Dallas
Minister, International and Intergovernmental Relations, Government of Alberta

LUNCHEON

KEYNOTE

His Excellency Guy Saint-Jacques
Ambassador of Canada to the People's Republic of China

01:15 PM

PANEL 3 Working with SOEs – Myths and Reality

CHAIR

Dr. Joseph A. Doucet, Stanley A. Milner Professor and Dean, Alberta School of Business, University of Alberta

PANELISTS

Joe Bradford, Joint Venture and Legal Consult, Pheonix Energy Holdings Ltd. Former Vice President Joint Ventures and Legal, CN00C Canada Inc.

Li-Wen LIN, Assistant Professor, Faculty of Law, University of British Columbia

Xiaojie XU, Chief Energy Fellow, World Energy Division, Institute of World Economics & Politics, Chinese Academy of Social Sciences

02:40 PM

PANEL 4 The 'Net Benefit' Debate

CHAIR

Graham Shantz, Director General, North Asia, Foreign Affairs, International Trade and Development Canada

PANELISTS

Gail Henderson, Assistant Professor, Faculty of Law, University of Alberta

Lawson Hunter, Counsel, Stikeman Elliott LLP

Sandy Walker, Partner, Dentons

02:25 PM

COFFEE BREAK

03:50 PM

WRAP UP REMARKS

Gordon Houlden
Director, China Institute, University of Alberta

National Forum on Chinese Investment in Canada: The Public Policy Dimensions

by Ron MacIntosh

In the wake of the CNOOC/Nexen controversy, foreign investment policy in Canada continues to be “the subject of much discussion”. Where the investment arises from State-owned Enterprises (SOEs), and especially Chinese SOEs, the debate can be sharp indeed. The measure of controversy is shown by polling undertaken by the China Institute as well as others. Support remains weak across Canada for a stronger relationship with China and support for Chinese investment, especially by SOEs, has even dropped further in the past two years.

Though lately focused on Alberta oil sands acquisitions, foreign investment policy is a national question, affecting all of Canada and a number of resource and non-resource sectors. It involves assessments of economic impacts and national security. Foreign investment policies and practices affect Canada’s global standing as a business-friendly setting – or otherwise.

On the latter, concern has arisen over the drop in Chinese investment following the CNOOC/Nexen controversy. Conversation persists among the business community, the legal profession, and media whether or not rules were clarified by July 2013 amendments to the Investment Canada Act or by statements made and actions taken by officials since then on foreign investment policy matters.

The Forum’s agenda has been designed to look at several fairly specific public policy questions ranging from assessing the actual impact of the new rules; how best to assess “net benefit” in today’s world; sorting out myths, realities and ways forward in dealing with SOEs; and examining the dimensions of a truly national approach in Canada to foreign investment issues.

The first panel “The Big Chill? - Is a Cooling Trend of Chinese Investment Evident in Canada?” will examine whether and in what measure the CNOOC/Nexen affair, the rule changes and the signals sent are accountable for the fall of Chinese investment since early 2013 – with consequences for major energy projects or for overall business with China. Specifically, have the new review thresholds, favouring non-SOE investments, impaired access to needed capital pools in China? Do the new



SCENE-SETTING
PAPER

definitions of an “SOE” under the Act, add clarity or confusion for prospective investors?

Some have argued that other factors are more important in explaining drops in investment, not the least delays in infrastructure and price-and-cost factors affecting Chinese and other investors. Moreover, some analysts see the capital crunch as either temporary, not severe or becoming less so – with continuing Chinese interest shown in other sectors, notably in LNG. Others point to the 2012/early 2013 period when the Nexen deal was fully approved and “booked”, as a spike that was simply unsustainable.

Perhaps a larger issue the panel may consider is whether Canada will remain a long term competitive location for Chinese investment. China does have choices and is exercising them. We can use, or not use, the links offered by investment, in both directions, to engage an economy to be the world’s largest by 2030, already its largest trader, the holder of \$3.7 trillion in hard reserves, savings rates over 50 percent, the biggest energy user – and now the third largest source of FDI by flow. Arguably, the signals sent will determine our future standing in China – at the margins or in the game.

One important question in either in attracting investments or in putting in place guidelines to ensure our objectives are met – or preferably both at the same time - is how to construct a “whole-of-Canada” approach. Entitled “Managing Foreign Investment – A Dialogue Between Provincial and Federal Governments”, the second panel will look at the experience of managing foreign investment policy in Canada. Are there ways of making this task a more national endeavour, both safeguarding our interests while ensuring we can compete for needed capital?

In so doing, can we achieve a greater unity of foreign investment policy and practice, resource development strategies, infrastructural development - and even foreign and trade policy? There is an argument, which the Panel may wish to explore, that these elements have proceeded

too much on separate tracks. There is a related view that the knowledge and responsibilities of provincial and territorial governments has not always been effectively incorporated in decisions taken – a consideration especially, though not uniquely, pertinent to the resource sector. The question is what processes might be adjusted in service of this goal starting with enhanced collaborative practices on major foreign investment decision-making and on foreign investment promotion programs.

Our third panel will address the topic “Working with SOEs-Myths and Reality”. The focus will be on the best strategies for dealing with the continuing differences in outlook and governance that do exist. Clearly Chinese SOEs, given their history, are not clones companies everywhere else. While Chinese SOEs abroad do behave more and more as commercial, market-driven entities, their governance remains somewhat opaque. There are challenges in promoting convergence of business practices and cross-cultural hurdles in management and deal-making, yet accommodation is possible.

Public confidence or “social license” must be earned. Last year’s forum explored “best practice” frameworks for SOEs and what they may look like. This year’s panel offers an opportunity to elaborate on how such frameworks may be operationalized, compliance with regulatory requirements encouraged, and community integration fostered. Are there also measures that the Canadian side can take that could inform and assist new Chinese investors, both SOEs and private sector investors, in navigating the regulatory questions at national, provincial and local levels?

Our final panel, “The ‘Net Benefit’ Debate”, will explore, from a legal and regulatory perspective, the approaches in place to assess benefits of foreign investments in Canada. The six criteria used by federal officials under the Investment Canada Act are effects on economic activity; participation of Canadians in the business; effect on productivity, innovation and product variety;

effect on competition; compatibility with national industrial and cultural policies; and contribution to Canada's ability to compete globally.

Discussion under this item may include whether these criteria adequately reflect the global realities of our economy as a whole or individual sectors. In the energy sector, for example, do the criteria address important objectives such as diversifying markets or the role of FDI in both directions in positioning an industry in key emerging economies who happen to assign prominent roles for SOEs? Are the criteria sufficiently keyed to the resource sector in general? Is there or should there be scope to take into account treatment of Canadian investors in Chinese industry or resource projects? Are there legal remedies available for shortcomings identified? Is there merit in further clarification being offered, for example through guidelines or interpretative notes, to better inform the decision making process?

Moving forward, reasonable concerns must be acknowledged over what are appropriate limits to control by entities owned by or strongly linked to foreign governments, including from China. It is such concerns which prompted the decisions announced by Prime Minister Harper on December 7, 2012, which appeared to be both an acknowledgement of the need for foreign capital in the energy sector, and a reaction to public opinion that had reservations regarding the sale of natural resource companies to China. Changing public attitudes to Chinese SOE investment will require strong records of compliance with law and regulation in Canada, of conformity with transparency, fair competition and corporate governance standards, and of best practices in community integration.

The proposal, open for discussion at this Forum, is that keeping the soon-to-be-world's largest economy at arms-length through continuing restrictions on investment and/or negative signals aimed at SOEs will have opportunity costs. There is no certainty how severe these costs are and in what form they take. What is apparent is that, as choices narrow and as competition grows, these costs will

apply to our development prospects at home and in the measure of success we can expect with China and arguably elsewhere. The likelihood is that such impacts will grow over time.

ABOUT THE AUTHOR

Ron MacIntosh is a native of Halifax, N.S. but grew up in Toronto. He holds a BA in Political Science with minors in economics and history from Dalhousie and an M.A. (International Affairs - Economic Development) from Carleton. Ron joined the then-named Department of External Affairs in 1975 as a political-economic stream officer. Over the years, he held diverse headquarters positions, with an accent on economic, trade and development matters, and with a geographic focus on Asia Pacific. He became an EX on the trade side in 2005 while serving on a cross-stream assignment as Director of the TCS Policy and Partnerships Division. During his career, Ron served at five posts abroad. In Bridgetown in the late 70s, he handled development assistance programs. In Tokyo 1982-85, he was responsible for trade policy matters. In Seoul 1991-94, he was PERPA manager with a variety of roles ranging from education promotion to following the security situation on the Korean peninsula. In Washington DC, Ron was Counsellor (Environment and Fisheries) 1997-2001. From 2006-09, Ron was Head of Mission at the Canadian Trade Office in Taipei. Ron retired from DFAIT in 2010 as Director General Strategic Planning, Resources and Coordination. Ron is now doing part-time writing and research with the China Institute of the University of Alberta and other organizations. He is also an amateur artist enrolled at the Ottawa School of Art.



KEYNOTE SPEAKER

The Honourable Cal Dallas

*Minister, International and
Intergovernmental Relations,
Government of Alberta*

BIOGRAPHY

Mr. Cal Dallas was elected as a Member of the Legislative Assembly for Red Deer-South on March 3, 2008 and re-elected on April 23, 2012. Mr. Dallas was appointed to cabinet on October 12, 2011 as the Minister of Intergovernmental, International and Aboriginal Relations and reappointed as Minister of International and Intergovernmental Relations on May 8, 2012.

Since taking his position as Minister, Mr. Dallas has led the development of a new International Strategy for the province, the goals of which include raising Alberta's profile on the international stage, increasing market access, and encouraging stronger business, trade and investment relations with jurisdictions around the globe.

Prior to serving in the Legislative Assembly, Mr. Dallas was Executive Director of the Red Deer Chamber of Commerce and, before that, he worked in the printing and publishing industry. An active member of his community, Mr. Dallas received the Queen's Jubilee Medal in 2003 and the Alberta Centennial Medal in 2005.

Mr. Dallas and his wife, Jacqueline, live in Red Deer.



BIOGRAPHY

Guy Saint-Jacques joined the Foreign Affairs Department in 1977. His postings have included Beijing, Hong Kong, Kinshasa, Washington and London. (In his most recent assignments to Washington and, just prior to that, London, he served as Deputy Head of Mission). At Headquarters, he has held numerous positions including: Deputy Director, Environment and Energy Division; Director, Assignments Division; and Director General, Personnel Management Bureau.

Prior to his appointment as Ambassador to China in October 2012, Mr. Saint-Jacques was Canada's Chief Negotiator and Ambassador for Climate Change. Fluent in Mandarin, this is his fourth posting to the People's Republic of China.

Mr. Saint-Jacques holds a BA [Geology], from Université de Montréal; and an MA [Land Planning and Regional Development] from Laval University.

He is married to Sylvie Cameron and they have two daughters and one grandchild.

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KEYNOTE SPEAKER

His Excellency Guy Saint-Jacques

*Ambassador of Canada to the
People's Republic of China*

SPEAKER BIOS

In the order
of appearance



Gordon Houlden
***Director, China Institute,
University of Alberta***

Professor Houlden is the Director of the China Institute, Professor of Political Science and Adjunct Professor at the Alberta School of Business at the University of Alberta. He was born in Calgary, Alberta, and attended the University of Calgary where he received his B.A., and subsequently did graduate work at Carleton University in Ottawa, and at the University Nacional in Lima, Peru.

Professor Houlden joined the Canadian Foreign Service in 1976, and has served in Ottawa in the East Asian, China, Caribbean, Latin American and Defence Relations units. Abroad he has been posted to Havana, Hong Kong, Warsaw, and Beijing. His last assignment before joining the University of Alberta in 2008 was as Director General of the East Asian Bureau of the Department of Foreign Affairs and International Trade.

Under Professor Houlden's leadership, the China Institute has focused on contemporary China studies, with an emphasis on Canada's trade, investment and energy linkages with the People's Republic of China.



Hon. Teresa Woo-Paw
***Associate Minister, Ministry of
International & Intergovernmental
Relations, Government of Alberta***

Ms Woo-Paw was named Associate Minister of International and Intergovernmental Relations on May 8, 2012.

Previously, she served as parliamentary assistant to the Minister of Employment and Immigration and as a member of the standing committees on Public Safety and Services and Public Accounts.

Ms Woo-Paw holds a Bachelor of Arts degree in social work from the University of Calgary. She has dedicated her career to promoting active civic engagement and volunteerism, social inclusion, cross-cultural understanding and antidiscrimination awareness.



Xinping WANG

Consul General, Consulate-General of the People's Republic of China in Calgary

Consul General Mr. WANG Xinping was born and raised in Liaoning Province, China. He received his Bachelor of Literature from Northeast Normal University. Mr. Wang began his career with the Ministry of Foreign Affairs of China in 1985, focusing on China's bilateral relations with European countries. As a career diplomat, he has worked in the Embassies of the People's Republic of China in the Netherlands, Sweden, Ireland and Guyana. His latest overseas assignment was as the Deputy Consul General of China in San Francisco. Before coming to Calgary in December 2013, he served in the Shanghai Municipal Commission of Commerce as Vice Chairman.



Ken Sunquist

Former Assistant Deputy Minister (Asia & Africa) & Chief Trade Commissioner, Foreign Affairs & International Trade Canada; Senior Research Fellow, China Institute, University of Alberta

Ken Sunquist is an international strategic business advisor, management consultant and corporate director.

He retired from the Dept. of Foreign Affairs and International Trade and the Public Service of Canada as Assistant Deputy Minister (Asia and Africa) and Chief Trade Commissioner for Canada.

Mr. Sunquist served in a number of foreign posts after joining the foreign service in 1973. His assignments abroad included Jamaica, Yugoslavia, San Francisco, Seoul, Beijing, and as Ambassador to Indonesia. His assignments at Headquarters included Director of Export Information, Director General of Trade Communications, Director of Trade Development and Special Projects, Co-ordinator of the Trade Development Policy Secretariat, and Director General of the Trade Commissioner Service.



Alison Nankivell

Vice President, Venture Capital Action Plan (VCAP)

Alison Nankivell is Vice President, Venture Capital Action Plan, where she leads BDC's efforts as the key execution agent for the Government of Canada's \$400 million commitment to a new venture capital program in Canada.

Alison has over 20 years of corporate financing and investment experience in Canada and Asia. Most recently, she served as Director, Funds Asia with Teachers' Private Capital, the private investment arm of the Ontario Teachers' Pension Plan. Prior to this, she was Head of Funds at Export Development Canada (EDC), where over a seven year period she built out the Canadian and Asian private equity and venture capital fund investment platform for EDC's Investments Team. During her 15-year tenure with EDC, Alison served in a number of financing and investment roles and spent seven years over two postings based in Beijing, first as Chief Representative for Greater China, and then as Principal and Head of Asia Fund Investments.

SPEAKER BIOS

In the order
of appearance



Qi TAO
**Head of Corporate Banking,
Department Industrial and
Commercial Bank of China (Canada)**

Qi Tao is the Head of Corporate Banking Department of the Industrial and Commercial Bank of China (Canada), responsible for the Corporate Banking Line that covers ON, BC and AB.

Mr. Tao worked for the Bank of China, Shanghai Branch for 13 years. His last position in the institution was as Deputy General Manager of the Corporate Banking Department. He directed two marketing teams, which operated approaching C\$5.5 billion loan assets and 100 corporate clients in the areas of Government Invested Projects (road, bridge, tunnel, subway, water supply and drainage system), Real Estate, Construction, Port, Airport, Hotel, Telecom, Energy Resources, Chemical Industry, Power Station and Grid. He completed his MBA at the University of Alberta in April 2013, before joining the Industrial and Commercial Bank of China.

In addition of obtaining an MBA degree from the University of Alberta, Mr. Tao holds a Bachelor of Arts (Majored in Arabic) from Shanghai International Studies University and a Master of Economics from Fudan University in China.



Ian Wild
**Executive Vice President,
ATB Corporate Financial Services**

Mr. Ian Wild is responsible for ATB Financial's corporate finance division which provides custom built solutions for mid- and senior-market businesses operating in Alberta in the Energy, Real Estate and Diversified industries.

Ian started his 30+ year career in banking in London, U.K., working for National Westminster Bank Ltd. While there, he served in a variety of positions in their International Division including international credit, corporate finance and trade finance. After immigrating to Canada, Ian began working for Continental Bank of Canada (HSBC) and later moved on to RBC. During his time with RBC, Ian started in the Special Loans Group and as the economy improved, took roles in Oil & Gas Banking and Corporate Banking. Capping off his experience at RBC, Ian transferred to RBC Dominion Securities where he served as Vice President in their Investment Banking group.

In addition to Ian's experience, he is a member of the Institute of Corporate Directors, holds an ICD designation and is an associate of the Chartered Institute of Bankers of the United Kingdom. Ian is also involved with and serves on multiple boards and associations.



Robert Kwauk
Office Managing Partner, Beijing office, Blake, Cassels & Graydon LLP

Bob assists Canadian and other international companies investing and doing business in China. He has extensive experience in strategizing, structuring, negotiating and documenting various transactions, such as mergers and acquisitions, mining, oil and gas, manufacturing, and technology transfer. He also assists a number of Chinese corporations in their acquisitions and financing in North America.

In 1998, Bob relocated from the Firm's Calgary office to establish its Beijing office and has resided there since that time.

Bob's previous experience in China included teaching economics and finance at a University in Xian in the late 1980s, a secondment to a law firm in Taipei in the early 1990s, and acting as counsel for a major Africa-based energy project invested by the national oil company of China in the mid-1990s.

Before becoming a lawyer, Bob was a member of the Royal Canadian Mounted Police.



Tony Baumgartner
Executive Director, Economic Development Division, with Ministry of the Economy, Government of Saskatchewan

Tony is a lifelong Saskatchewan resident. He is currently the Executive Director of Investment and Industry Development of the Economic Development Division, with the Ministry of the Economy.

Prior to his current role Tony has done many stints in the public service including: Acting Assistant Deputy Minister, Ministry of the Economy, Vice President of Sector Development for Enterprise Saskatchewan and long time public servant with service in Departments of Industry, Environment, and Natural Resources. He has particular interest and background in forest economic development.



David Hartman
Executive Director, Greater China Division, Foreign Affairs, International Trade and Development Canada

David Hartman has been Executive Director of the Greater China division of the Department of Foreign Affairs, Trade and Development since September 2013. Prior to assuming his new responsibilities, Mr. Hartman was Acting Director General and Director, since July 2010, in the department's Invest in Canada bureau. Mr. Hartman was also previously Director of the Trade Commissioner Service's Business Sectors division where he was responsible for the Aerospace & Defense, Automotive and Information and Communication Technologies industries.

Mr. Hartman began his career with the Department of Foreign Affairs and International Trade in 1996 after working for several years as a Special Assistant to a Member of Parliament in Canada's House of Commons.

David is a graduate of Queen's University in Kingston, Ontario having graduated in 1993 with a Bachelor's of Arts Honours degree in history and politics, followed by a graduate degree in diplomatic history from the University of Ottawa where he received his Master of Arts degree in 1996.

SPEAKER BIOS

In the order
of appearance



Terry Hayden
**Assistant Deputy Minister – Economic
Development**

Terry Hayden has been with the Government of Yukon since 1991 and with the Department of Economic Development since 2003. During his time in government, he has held a variety of management positions in the areas of policy, innovation and industry development.

Mr. Hayden has provided oversight and leadership in the Government of Yukon for a number of large scale government-to-government and government-to-business projects and initiatives. For example, the negotiation and formation of a multi-million dollar infrastructure development project with Yukon First Nations. In addition, his branch operations have undertaken activities that have recently led to over half a billion dollars of investment for Yukon companies from Asia.

Mr. Hayden has recently been certified by Stanford University in Advanced Project Management as well as Strategic Decision and Risk Management.



Al Sanderson
**Chief Assistant Deputy Minister,
Ministry of Energy, Alberta
Government**

Al Sanderson is the Chief Assistant Deputy Minister of the Strategy Division at the Alberta Department of Energy. Strategy Division's role is to develop and lead strategic thinking and initiate actions that improve the natural resource management system of the Government of Alberta. The Division acts as a catalyst for the Natural Resources and Environment group of departments by taking a systems view of the NRE departments' work to encourage departments to collaborate on common issues.

The Strategy Division is also the lead for Alberta Energy's international engagements, Oil Market Diversification, the Canadian Energy Strategy, and Strategic and Business planning.

Al worked in BC's Public Service for 25 years, with 20 years in B.C. Lands in Smithers, Fort St John and Prince George, and 5 years as Regional Director for B.C. Environment for the Omineca-Peace Region, centred in Prince George. Al moved to Alberta in 2001, and was with Alberta Environment for 10 years involved in a variety of functions including regional land use planning, regional operations, process design and development, and as ADM of the Corporate Division. In 2011, Al moved to Energy as Chief ADM.



Dr. Emilson Silva
Professor, Alberta School of Business, University of Alberta

Emilson Silva graduated with a PhD in Economics from the University of Illinois at Urbana-Champaign in 1993. Since then he has held academic positions at the University of Oregon, Tulane University, Universidade Católica de Brasília, and most recently the School of Economics at Georgia Institute of Technology.

He joined the Alberta School of Business' NREE group (Natural Resources, Energy & the Environment) in July 2012 where he was awarded the position of CAIP Chair. He is also the Academic Director of the Center for Applied Business Research in Energy and Environment (CABREE). His current research is in the areas of Public Economics, Environmental Economics, Industrial Organization, Development Economics, and International Economics.

Dr. Silva has published in prestigious outlets such as the Journal of Political Economy, Economic Journal, European Economic Review, Journal of Public Economics, Journal of Urban Economics and the Journal of Environmental Economics and Management.

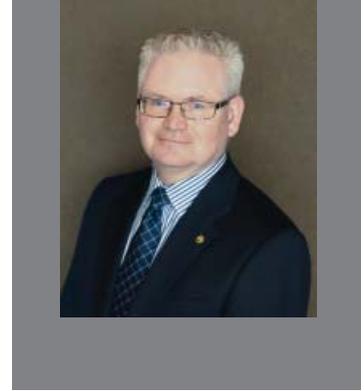


Dr. Joseph Doucet
Stanley A. Milner Professor & Dean, Alberta School of Business, University of Alberta

Joseph Doucet became Dean of the Alberta School of Business on July 1, 2013. He joined the School in 2000 and, from 2005, was the Enbridge Professor of Energy Policy. He earned his PhD from the University of California, Berkeley.

Doucet's research interests are in the areas of energy and regulatory economics and policy. He regularly provides strategic advice to firms and governments in these areas. His research has appeared in journals such as the Journal of Regulatory Economics, the Canadian Journal of Economics, Energy Economics and The Energy Journal. He is a past President of the Canadian affiliate of the International Association for Energy Economics (IAEE). He is a member of the Editorial Board of the Journal of Regulatory Economics and, between 2000 and 2006, he was Editor of the journal Energy Studies Review.

Doucet currently sits on the boards of the EPCOR Community Essentials Council (ECEC), the Institute of Certified Management Consultants of Alberta (CMC-Alberta), and the 2014 ITU World Triathlon Grand Final. He is also a member of the C.D. Howe Institute's Energy and Natural Resources Policy Council, and the City of Edmonton's Mayor's Taskforce on the Elimination of Poverty.



Joe Bradford
Joint Venture and Legal Consult, Phoenix Energy Holdings Ltd.; Former Vice President Joint Ventures and Legal, CNOOC Canada Inc.

Joe Bradford consults to Phoenix Energy Holdings Inc., a wholly owned subsidiary of PetroChina, regarding joint venture and legal management. Prior to Phoenix, he was CNOOC Canada Inc.'s Vice President, Joint Ventures & Legal until CNOOC's operational merger with Nexen in September, 2013. In CNOOC's predecessor corporation, OPTI Canada Inc., he served as Vice President Legal & Administration. Prior to joining OPTI, he held a number of senior management positions including Senior Vice President, Commercial & Legal with Advanced Biodiesel Group and Vice President, Regulatory & Legal at Electricity Supply Board International (Alberta), Alberta's first independent electrical transmission administrator.

Mr. Bradford received his law degree from Queen's University and his B.A. (Honours) from St. Francis Xavier University.



Li-Wen LIN

**Assistant Professor, Faculty of Law,
University of British Columbia**

Professor Lin holds a LLB degree from National Taiwan University, LLM and JSD degrees from the University of Illinois at Urbana-Champaign, MA, MPhil degrees and a PhD candidacy in Sociology from Columbia University. She has published a series of articles on corporate social responsibility in comparative perspective. Her current research adopts the interdisciplinary approach of law and economic sociology to explore the governance of China's large state-owned enterprises. Her recent publications include "State Ownership and Corporate Governance in China: An Executive Career Approach" (Columbia Business Law Review, forthcoming); "We are the (National) Champions: Understanding the Mechanisms of State Capitalism in China" (Stanford Law Review 2013) (with Curtis Milhaupt).



Xiaojie XU

**Chief Energy Fellow, Institute of
World Economics & Politics, Chinese
Academy of Social Sciences**

Xiaojie Xu is the Chief Energy Fellow of the World Energy Division (as of April 2009) at the Institute of World Economics and Politics, Chinese Academy of Social Sciences in Beijing, and the Secretary General for the World Energy China Forum.

Previously, Mr. Xu worked at the China National Petroleum Corporation (CNPC, 1983-2009) as the founding Director of the Institute of Overseas Investment Climate Research, CNPC (2000-2009). He has also advised the NEA on international policy, and CNPC, CNOOC and other state-owned energy companies on global business expansion i.e. in geopolitical, economic, commercial, regulatory, and contractual review and business development and risk management.

He is the author of *Petro-Dragon's Rise, What It Means for China and The World* (European Press, in English, 2002) and *Energy Black Swan: Global Games for Hydrocarbon Resources and Chinese Options* (in Chinese, 2011) along with numerous articles and speeches on investment, corporate strategy and governance in both Chinese and English. Mr. Xu graduated from Zhejiang University in 1983.



Graham Shantz

**Director General, North Asia Bureau,
Foreign Affairs & International Trade
Canada**

Graham Shantz (BA [Economics], Goshen College, Indiana, 1983; MA [International Affairs], Norman Paterson School of International Affairs, Carleton University, 1987) joined Canada's Department of External Affairs and International Trade in 1990.

Mr. Shantz served as Canadian Ambassador to Spain and Andorra from 2009 to 2012.

He has held various positions at the Department's headquarters, such as Acting Assistant Deputy Minister, Policy and Strategic Planning Branch; Director General, Policy Planning Bureau; Chief Negotiator for Canada's Foreign Investment Promotion and Protection Agreements, and Deputy Director, Investment Trade Policy Division. He has also served abroad in Beijing and Jakarta.

In Ottawa, Mr. Shantz has held management positions with the Privy Council Office and the Department of Finance Canada, and has served with the Canadian International Development Agency.

He has held his current position since 2012.



Gail Henderson
Assistant Professor, Faculty of Law,
University of Alberta

Gail Henderson is an Assistant Professor at the University of Alberta Faculty of Law. Her teaching and research interests include corporate law, corporate governance, securities regulation and corporate social responsibility. Professor Henderson graduated from Osgoode Hall Law School as Gold Medalist in 2005, and served as law clerk to The Honourable Louise Charron of the Supreme Court of Canada in 2005-06. Prior to pursuing graduate studies, she practiced commercial litigation and environmental and municipal law at Olser, Hoskin & Harcourt LLP in Toronto. Professor Henderson has received funding for her research from the Social Sciences and Humanities Research Council, the Canadian Foundation for Governance Research and the Canadian Centre for Ethics & Corporate Policy.



Lawson Hunter
Counsel, Stikeman Elliott LLP

Lawson Hunter is competition, regulatory and government relations counsel at Stikeman Elliott, and past head of the competition and foreign investment group. Formerly Canada's senior civil servant in charge of competition policy and enforcement, he was primarily responsible for drafting the federal Competition Act. He previously served as executive vice president and chief corporate officer of Bell Canada and BCE Inc., where he was responsible for overseeing regulatory, governmental relations and corporate affairs. Mr. Hunter advises Canadian and multinational corporations on all aspects of federal regulatory law and policy, including competition/antitrust, foreign investment legislation and telecommunications law. Recent mandates include CNOOC/Nexen, Transcontinental/Quad Graphics, Johnson & Johnson/Synthes, Inc., Canadian Tire/Forzani Group, PotashCorp/BHP Billiton and Ticketmaster/Live Nation.



Sandy Walker
Partner, Dentons

Sandy Walker co-leads Denton Canada's China initiative and is the Co-Chair of the firm's National Competition Law and Foreign Investment Review Practice Group. Sandy has extensive experience in competition and antitrust law matters and is recognized as one of the country's leading Investment Canada Act specialists. Her practice focuses on securing regulatory approvals for mergers from the Competition Bureau, Investment Canada and other regulatory agencies. She also advises on compliance issues relating to pricing, distribution and trade practices, as well as anticorruption matters under Canada's Corruption of Foreign Public Officials Act.

Sandy represents clients in an array of diverse industries such as mining, financial services, oil and gas, automotive, media, food and beverage, manufacturing, transportation and retail.



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