



UNIVERSITY
OF ALBERTA

CPA Speaker Series:

Ryan Clements, Alberta Securities Commission

Recent Developments at the Alberta Securities Commission (ASC)

Friday, Oct 11, 2024

2:00 PM - 3:30 PM

Location: BUS 306

ABSTRACT

This presentation will identify recent regulatory policy and organizational developments at the Alberta Securities Commission (ASC). Dr. Clements will provide a brief overview of the statutory authority, and organizational structure, of the ASC and a description of the role of the Canadian Securities Administrators (CSA) in Canadian capital markets regulation. He will provide updates on recent organizational developments at the ASC and the CSA including the establishment of the ASC's Advanced Research and Knowledge Management Division, the Technology and Innovation Advisory Committee, and the CSA Financial Innovation Hub. He will also provide an update of recent policy developments across the Canadian capital markets regulatory ecosystem including crypto -asset regulatory policy formation; considerations in the use of artificial intelligence in capital markets; data and observations from the 2024 ASC Alberta Capital Market Report and the 2023 CSA Systemic Risk Annual Report. He will also scan various rule formation developments including in sustainability, ESG, and climaterelated disclosures, capital formation in the prospectus exempt market, and short selling.

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